

05/27/2005 FRI 15:17 FAX 650 474 8401 GLENN PATENT GROUP

RECEIVED
CENTRAL FAX CENTER

001/009

MAY 27 2005

PTO/SB/87 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Transmission under 37 CFR 1.8

Attorney Docket No. BARR0005

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

From: GLENN PATENT GROUP
Customer No.: 22,862
Tel: (650) 474-8400
Fax: (650) 474-8401

on 05/27/2005

Date



Signature

Della Revecho

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

1. Supplemental Amendment (8 pages)

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICERECEIVED
CENTRAL FAX CENTER

5

In re Application of: Stefek, et al

Docket No.: BARR0005

MAY 27 2005

Serial No. : 09/895,605

Art Unit: 2121

10 Filed: 29 June 2001

Examiner: Holmes, Michael B.

Title: METHOD AND APPARATUS FOR AN INTEGRATIVE MODEL OF MULTIPLE ASSET CLASSES

15 May 27, 2005

Assistant Commissioner for Patents
Mail Stop Non Fee Amendment
P.O. Box 1450
20 Alexandria, VA 22313-1450

SUPPLEMENTAL AMENDMENT

- 25 Further to the Preliminary Amendment in the above matter submitted on 17 May 2005, Applicant submits this Supplemental Amendment. Amendment to the Claims is attached hereto. The Commissioner is hereby authorized to charge any additional fees due or credit any overpayment to Deposit Account No. 07-1445.
- 30 The Commissioner is authorized to charge any additional fees that may be due and credit any overpayments to Deposit Account No. 07-1445 (Order No. BARR0005). Enclosed is a copy of this sheet for accounting purposes.